

## **Copyright Notice**

©2007 IEEE. Personal use of this material is permitted. However, permission to reprint/republish this material for advertising or promotional purposes or for creating new collective works for resale or redistribution to servers or lists, or to reuse any copyrighted component of this work in other works must be obtained from the IEEE.

# Preemptive Strategies to Improve Routing Performance of Native and Overlay Layers

Srinivasan Seetharaman<sup>†</sup>, Volker Hilt<sup>\*</sup>, Markus Hofmann<sup>\*</sup>, Mostafa Ammar<sup>†</sup>

<sup>†</sup> College of Computing  
Georgia Institute of Technology  
Atlanta, GA 30332  
{srini,ammar}@cc.gatech.edu

<sup>\*</sup> Bell Laboratories  
Alcatel-Lucent  
Holmdel, NJ 07733  
{volkerh,hofmann}@bell-labs.com

**Abstract**—Overlay routing is known to cause undesired instability in a network by operating in a selfish manner. The objectives of overlay routing, such as optimizing end-to-end latency, are often in conflict with the objectives of traffic engineering in the native layer, which is concerned about balancing load. In our work, we build on past research that has investigated the recurring non-cooperative interaction between overlay routing and traffic engineering, and develop strategies that improve the routing performance of a particular layer with incomplete information about the other layer. In our strategies, one layer acts as a *leader* that predicts the *follower's* reaction and undertakes countermeasures to prevent future deterioration in performance. Specifically, we propose two classes of strategies – *friendly* or *hostile* – for each layer. By simulating under different network characteristics, we show that these preemptive strategies achieve near-optimal performance for the leader and increase the overall stability of the network. Furthermore, we observe that the best performance for a particular layer is achieved only when the goals of the other layer are completely violated, thereby motivating a higher level of selfishness.

## I. INTRODUCTION

Overlay networks have recently gained attention as a viable alternative to overcome functionality limitations (e.g., lack of QoS, difficulty in geo-positioning, multicast support) of the Internet. The basic idea of overlay networks is to form a virtual network on top of the physical network so that overlay nodes can be customized to incorporate complex functionality without modifying native routers. These virtual networks have been designed with the capability to sense changes in the underlying native network and dynamically adapt their routing tables. This is a selfish approach aiming to offer enhanced routing performance to the overlay network's traffic.

In a resource-constrained world, where the native layer performs traffic engineering (TE), this selfish behavior of overlay routing tends to backfire and causes all traffic to suffer route oscillations, increased routing cost and resource starvation[1], [2], [3]. Further, instability and sub-optimality is exacerbated when there is a conflict in objective between the two entities, viz. overlay routing that aims to minimize the latency between the nodes of a service overlay network[4], and traffic engineering that aims to balance the load in the underlying native network[5], [6]. Although this non-cooperative interaction is a well-studied problem, past research does not suggest ways to avoid associated shortcomings and attain an optimal operating point.

In this context, *our goal is to propose strategies that obtain the best possible performance for a particular layer by predicting or counteracting the other layer's reaction, while steering the system towards a stable state.* This is similar to the Stackelberg approach where one player acts as a leader and the other players

are selfish followers[7]. We refer to the layer that makes the first unconventional route adjustment as the *leader* and the layer that reacts to this change as the *follower*. As these strategies allow one layer to firmly assert its performance, without any future deterioration, we refer to them as *preemptive* strategies. The general idea is to insure that the leader picks those optimal routes for which the follower has no other alternative or volition but to retain the same routes. Specifically, we propose preemptive strategies for the following two scenarios:

- 1) When overlay applications can estimate the characteristics of the underlying native network and can sufficiently predict its behavior for a certain load distribution. In the context of service overlay networks, the objective of the leader is to *minimize the end-to-end latency of the overlay paths.*
- 2) When the native network is aware of the selfish overlay network and can sufficiently predict its behavior for a certain network topology. In the context of traffic engineering, the objective of the leader is either to *minimize the maximum link utilization*, or to *minimize the overall network cost.*

Unfortunately, prediction in the true sense is not a pragmatic solution owing to three main issues. Firstly, overlay networks and native networks maintain independent routing tables and have different network span, making it unrealistic to procure complete knowledge about the other layer's functioning. Secondly, the prediction process makes it essential to contrive a relation between the latency objective and the load balancing objective, which does not exist in reality. Lastly, determining the exact routes to be prescribed by each layer, even in the presence of complete information, is a hard problem[8].

We work around these limitations by profiling the multi-layer interaction, and propose simple strategies for the leader to proactively prepare itself for the follower's reaction (response). As this represents a *repeated game*[9], where the players have continuous sequential interaction, it is possible to capitalize on historical observations and to gradually learn the desired action. Specifically, we propose two classes of strategies – *friendly* or *hostile* – for each layer.

In the friendly strategy, one layer picks routes in such a manner that it improves its performance without defeating the objective of the other layer. The fundamental idea behind the friendly design being that the follower does not get instigated to react if the leader operates within certain bounds acceptable to the follower. On the other hand, a hostile strategy improves the performance of one layer primarily by defeating the objective of the other layer, with minimal chance for recuperation. The fundamental idea behind the hostile design being that the leader can cause irrecoverable problems for the follower in an effort to leave the follower no viable option to react.

<sup>†</sup> These authors' work was supported in part by NSF grant ANI-0240485.

For both the overlay and native layers, our strategies achieve near-optimal performance, and converge within a few rounds of interaction. Our preemptive strategies i) are simple and easily deployable, ii) do not require any cooperation or interface between the two layers, and iii) work with negligible information about each layer.

As overlay applications proliferate, it is highly likely that the amount of selfish overlay traffic will experience significant growth in the future. Moreover, network virtualization through overlay networking is seen by many as a potential future for the Internet[10]. This tends to alarm the current ISPs about the impending destabilization of its network. In such a context, deploying our strategies in either layer is crucial to eliminate the instability (persistent route oscillations) generally observed in the non-cooperative interaction[2], [3], [11], without compromising on route optimality. Though we do not recommend the hostile strategies, we propose and analyze them in this paper to prepare each layer for possible hostile attacks from the other layer in the future.

Our contributions are three-fold:

- 1) We provide an understanding of the objective conflict in the multi-layer interaction and its detrimental effects.
- 2) We develop means to mitigate the inherent instability in the system without compromising on the routing performance.
- 3) We propose simple, yet efficient, strategies that help a particular layer achieve near-optimal performance, with limited information of the other layer.

The remainder of the paper is organized as follows. We briefly describe related work in Section II. We present the issues involved in the interaction and the model for evaluation in Section III. We characterize the behavior of the multi-layer interaction in Section IV by a simulation study. Sections V and VI propose preemptive strategies that improve the performance of the overlay layer and native layer respectively. This paper is concluded in Section VII.

## II. RELATED WORK

Our work builds directly on [1], which addresses the question of whether the multi-layer system with conflicting objectives will reach a steady state. By means of simulation, they show that the interaction between selfish routing in overlay networks and native routing are unlike traditional equilibria analyses[12], [13]. However, unlike our work, they do not derive means to resolve the detrimental effects.

Another related work analyzes the multi-layer interaction as a Nash routing game with each layer aiming to optimize its cost[2]. Even though the two players in the system are non-cooperative, their objective is similar. However, this similarity in objective is unrealistic in most scenarios where no direct transformation exists between the application specific metric (like end-to-end latency) and native link load.

Yong et al.[14] propose proactive overlay routing algorithms that provide shortest paths, while constantly improving the headroom on each link. This tends to have the same effect as minimizing the maximum utilization, thereby reducing the conflict in objective. However, this solution is plagued with instability issues, which is precisely what our strategies eliminate.

Korilis et al.[7] investigate using Stackelberg approaches for optimizing the overall network performance, by deploying a manager that distributes its traffic after predicting the response of the other users in the network. However, in their work, the

objective of all players are aligned and reflect the M/M/1 delay function. Hence, it is not as applicable when the objective is conflicting. Moreover, they assume knowledge of the follower's response (input + objective function), which is not feasible in reality. Similarly, the work in [8], which uses a Stackelberg approach to improve the performance of the overlay layer, also assumes complete information of the follower and a M/M/1 cost function for the two layers. Our preemptive strategies do not make these assumptions, and considers conflicting objectives between the two layers, without requiring complete information about the other layer. Furthermore, they use a gradient projection search to obtain an approximate solution, which is locally optimal and closer to the initial point, in one iteration. In contrast, our strategies arrive directly at the optimal choice within a few rounds, and is unrestricted by the original solution space.

## III. PERFORMANCE EVALUATION

In this section, we describe the exact behavior model of each layer and present our methodology of performance evaluation.

### A. Network Model

We investigate the interaction between the following two entities:

1) *Traffic Engineering*: TE is a crucial procedure in modern ISP networks to balance load and remove bottlenecks. Typically, it uses a particular snapshot of the traffic demand matrix to derive the set of routes that achieve a specific load-balancing objective. The frequency of re-engineering the routes depends on the amplitude of change in the traffic matrix or the desired periodicity. In our work, we study the effects of adopting one of the following two objectives:

- Minimize the overall cost of the network (as proposed by [6]), where the cost  $\phi(a)$  of an individual link  $a$  is modeled using a piecewise-linear, increasing, convex function.
- Minimize the maximum link utilization in the network (as used by [15], [16]), where the utilization of an individual link  $a$  is defined as the ratio between the cumulative load  $X_a$  in the link and the capacity  $C_a$  of the link.

TE can be implemented by means of MPLS[17], where the traffic between two nodes is partitioned and transported over one or more pre-configured tunnels, or OSPF/ISIS[6], where the IGP link metrics are optimized to approximate the solution of MPLS-TE. As MPLS achieves the optimal TE objective, we only focus on the interaction between overlay routing and MPLS-TE.

We model the native network as a directed graph  $G = (V, E)$ , where  $V$  is the set of nodes and  $E$  is the set of directed links with finite capacity constraints. The latency of each physical link is the sum of the propagation delay and the queuing delay. We analyze two different cases in the rest of the paper: one where the queuing delay is negligible in comparison to the propagation delay and one where it is non-negligible.

2) *Overlay Routing*: We focus on a service overlay network, which is managed by a single operator, and which offers latency-optimized paths to actual end systems. To achieve this, the overlay layer maintains a routing table that is independent of the underlying network[18], [19], [20] and deploys some form of dynamic routing to adapt to changing conditions in the native network. Following standard terminology, an *overlay link* represents the direct native route between two overlay nodes, which in turn comprises of one or more native links, and an *overlay path* is made up of one or more overlay (virtual) links.

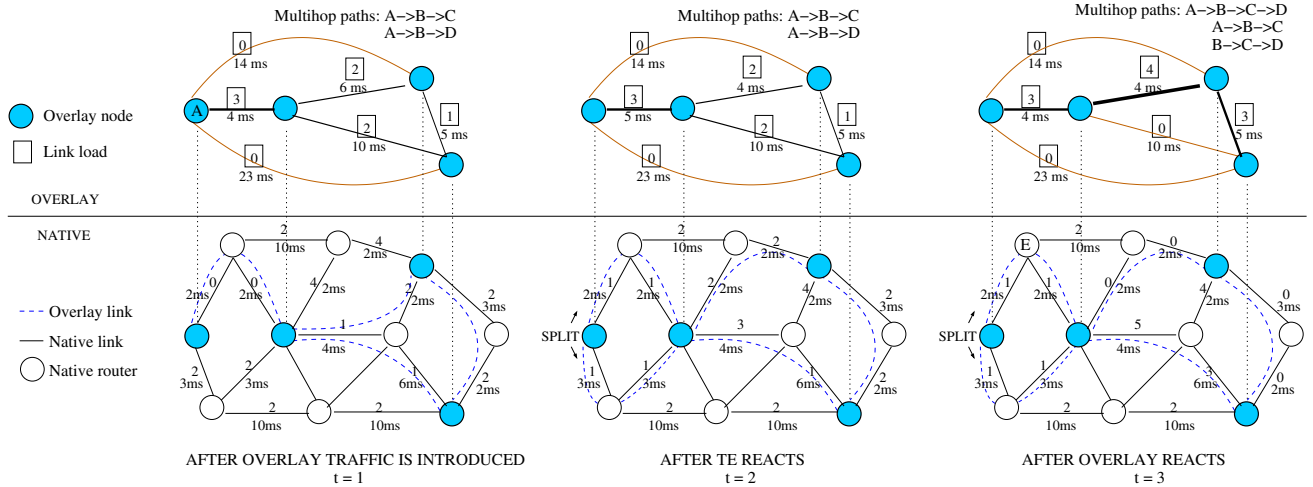


Fig. 1. Illustration of 1 round of interaction between overlay routing and TE. In the figure, the numbers indicate the available bandwidth on each native link and the latency value of each link in ms. We do not show the IGP metrics. We assume that the traffic is split evenly between available equal cost multipaths and the latency value of each native link is a constant parameter. The overlay traffic matrix contains 1Mbps of traffic from each node to every other node.

This overlay path represents the end-to-end route taken by the application traffic.

We model the overlay network as a directed graph  $G' = (V', E')$ , with  $V'$  being the set of nodes and  $E'$  being the set of edges. We assume that the overlay topology is given and aim to improve over current performance. We assume complete mesh connectivity of overlay links between the overlay nodes. The overlay network periodically monitors the state of the overlay links and the latency incurred by each of them. Based on the collected data, the overlay performs some form of link state routing to optimize its objective.

### B. Interaction between the Layers

Each entity described above operates solely on the results of the network monitoring process and is otherwise oblivious to the dynamics of the other layer. This independent operation of routing protocols in the two layers, as seen in today's networks, has the following two inherent drawbacks:

- **Misalignment of objectives:** In the attempt of deriving shortest paths, overlay routing tends to reuse a short overlay link in multiple paths<sup>1</sup>. This causes the load on that native route to escalate beyond the expected demand, thereby upsetting traffic engineering. Similarly, in an effort to balance load, TE may offer native routes that span under-utilized routes in remote regions of the network, causing a stretch in the overlay link latency. This shows a serious misalignment in objectives leading to contention and associated route oscillations.
- **Misdirection of traffic matrix estimation:** The TE operation used by the ISPs relies heavily on the estimate of the traffic matrix. In the case of overlay networks, irrespective of the TE protocol or objective, the drawback is that the estimated traffic matrix is not reflective of the actual end-to-end source-destination demand [1], [3]. Hence, there is a certain amount of misdirection in the load-based optimization procedure of TE. For instance, consider the traffic on two overlay paths  $A-B$  and  $A-B-C$ . The traffic on the overlay link  $AB$  cannot be differentiated based on the true destination. This makes the load distribution process rigid.

The interaction between overlay routing and traffic engineering is non-cooperative and recurring. Each layer optimizes the routes

<sup>1</sup>It has been shown on a Planetlab testbed that the popularity (betweenness) of certain nodes in the overlay network is non-uniformly distributed [21].

to suit its local objective in succession. We refer to the duration between two iterations of TE as a *round*. The number of overlay routing operations between two TE operations may vary based on the probing frequency.

Fig. 1 illustrates this multi-layer interaction by means of a simple example. We notice that any reconfiguration in one layer's routes leads to a substantial change in the other layer's state (link load profile in the case of TE or link latency profile in the case of overlay routing). Such a system takes longer to stabilize in the presence of resource constraints. The system in the example takes longer than 10 rounds to reach steady state.

### C. Performance metrics

Based on the particular TE objective, the routing performance of the native layer can be measured by one of the following two variants:

- **Native cost**, in the event the ISP chooses to minimize the overall cost incurred by its network. The native cost is computed as  $\sum_{a \in E} \phi(a)$ , where  $a$  represents a link in the set of edges  $E$  and  $\phi$  is the summation of the piecewise integral of the cost increase function.
- **Maximum utilization**, if the objective of the ISP is to minimize the maximum link utilization observed in its network. The maximum utilization is computed as  $\max_{a \in E} \frac{X(a)}{C(a)}$ , where  $a$  represents a link in the set of edges  $E$ .

In our work, we focus on service overlays that offer lowest latency routing service. Hence, the routing performance of the overlay layer can be measured by:

- **Average latency**, which is defined as the average of the end-to-end latencies observed across all overlay paths with non-zero traffic demand.

When there exists a conflict in the objective between the two layers, the system tends to become unstable, leading to frequent alterations in the route taken by existing traffic. These changes in route can happen to all flows at the end of traffic engineering, or just to overlay flows at the end of overlay routing. Each such route change is referred to as a *route flap*. Route flaps can be a serious problem in case of TCP, VoIP and other traffic that relies on packet ordering and is sensitive to jitter [22]. As a result, the end-to-end performance is hurt. Moreover, the route flaps serve as an important indication of the instability in the system. Hence, the next performance metric of interest is:

- *Number of route flaps*, which is the sum of route changes observed in existing flows after a routing operation.

During the multi-layer interaction of overlay routing and TE, there are operating points where the performance of a particular layer is the best it can be. We refer to this performance as the *best-case*, or *optimal*, performance of that layer. This best-case performance can be computed as the minimum of the objective value attained in any of the rounds. However, that layer is usually unable to retain this best-case performance, as the other layer annuls it during its routing operations.

The preemptive strategies we propose attempt to steer the system towards the best-case performance of the leader. However, as shown later, the leader is not always able to achieve the best-case performance, and sometimes incurs a minor loss of routing performance, as a tradeoff against the gain in system stability. We use the following performance metric to estimate the effectiveness of the preemptive strategies we propose:

- *Inflation factor*, which is defined for each layer as:

$$\text{Inflation factor} = \frac{\text{Steady state obj value with strategy}}{\min_{t=0..\infty} \text{Obj value without strategy}}$$

In the case of the leader, this factor is used to determine if the leader achieved the best-case performance at the steady state, or if the leader had to accept a minor tradeoff to retain its stable performance. In the case of the follower, this factor reflects the amount of sub-optimality incurred by the follower, due to the leader’s preemptive action.

#### D. Simulation Setup

In this subsection, we describe the simulation setup that we used to evaluate the performance of the multi-layer interaction (with or without the preemptive strategies). Clearly, the routing performance in this multi-layer scenario is topology-specific and load-specific. Hence, we simulate multiple overlay topologies over multiple native networks, with varying levels of traffic, to improve the generality of the results.

We use GT-ITM[23] to generate random network topologies for the simulations. We generate 5 native network topologies of 20 nodes each<sup>2</sup>, 5 overlay topologies of 5 nodes each and 5 overlay topologies of 8 nodes each. This gives us 50 possible combinations of mapping the overlay topology to the native topology at random. All observations in this paper have been verified over these 50 synthetic topologies. However, we present the results from only one topology to monitor the trend accurately. The results observed for this topology are representative of those observed in the other topologies, unless otherwise mentioned.

The overlay links used in the simulation are bi-directional and we deploy a *ping*-like delay estimation scheme to determine the latency across an overlay link, i.e. we compute the round-trip time across each overlay link and halve the result to determine the one-way latency. This causes symmetric routing at the overlay layer, though the native TE-based routing over the set of directed links  $E$  is asymmetric.

We posit there are a few overlay nodes in a domain that exchange a certain amount of overlay traffic among each other. In addition, all nodes in the domain exchange a certain other amount of background traffic, i.e. background relative to the overlay network. The overlay traffic and the background traffic together represent the total load on the native network.

We vary the amount of traffic in the network by tuning two parameters – average link utilization  $u$  and the overlay traffic scale factor  $p$ . The former parameter determines the total load in the network and the latter determines the fraction of traffic on an overlay link that belongs to the overlay network. We configure the capacity of each native link in our topology to be 10Mbps. Once we determine the total load  $l$  (sum of all demands), we determine the source-destination pairs in the native network that are also part of the overlay. In all those pairs, we set the fraction of the overlay traffic to be  $p$  times the total load in those pairs. The remaining load becomes part of the background traffic. Once we know the total load in different sections of the native and overlay network, we randomly generate traffic demands between each source-destination pair. This traffic assignment is similar to that in [2]<sup>3</sup>. We keep this traffic matrix the same all through our experimentation in order to analyze the dynamics for each load distribution.

It is worth noting that the overlay traffic matrix has to be combined with the overlay routes to determine the overlay networks’ contribution to the real traffic matrix. An example of this operation can be seen in Fig. 1.

We configure the probing frequency in such a manner that each round of the interaction has one instance of TE, followed by three instances of overlay routing. After each TE, we update the latency of each overlay link and after each overlay routing operation, we update the real traffic matrix to reflect the changes. Thus, each layer’s action influences the other layer’s reaction.

In our simulation, we determine the exact routes for MPLS-TE by solving the linear program (LP) formulated in [6]. To solve this LP, we use the GNU linear programming kit[24].

We understand that our simulation setup can be considered simplistic i.e., it considers only a fixed native topology size of 20 nodes, intra-domain scenario and a single overlay network. However, we believe that this approach is well-suited to study each interacting element. We reserve consideration of multi-AS multi-overlay case for future study.

## IV. MULTI-LAYER INTERACTION: A SIMULATION STUDY

In this section, we present results from our simulation study of the interaction between overlay routing and traffic engineering, and discuss about the ideal routing choices for the leader.

### A. Simulation Results

Fig. 2 presents results for the interaction between the two layers for a specific scenario, without applying any of our preemptive strategies. We observe that each TE procedure leads to an increase in the average end-to-end latency of existing overlay paths, while each overlay routing operation leads to an increase in the maximum utilization of the native network. This shows a clear conflict in objective between the two layers and gives sufficient reason for the instability. Owing to the probing frequency, we observe that the duration of sub-optimality for TE is longer in comparison to that for overlay routing.

The number of route flaps gives a numerical estimate of the instability in the network. We observe that the system suffers from persistent route flaps and does not attain a stable operating point even after 100 rounds elapse. This is accordance with earlier findings[1], which used both synthetic topologies and real tier-1 ISP topologies for verification. Note that the number

<sup>2</sup>We are restricted to a small native network owing to the huge computational complexity of the linear programming solution of MPLS-TE

<sup>3</sup>There is a minor difference that we preset the total load first and then subtract the overlay traffic from it to determine the background traffic.

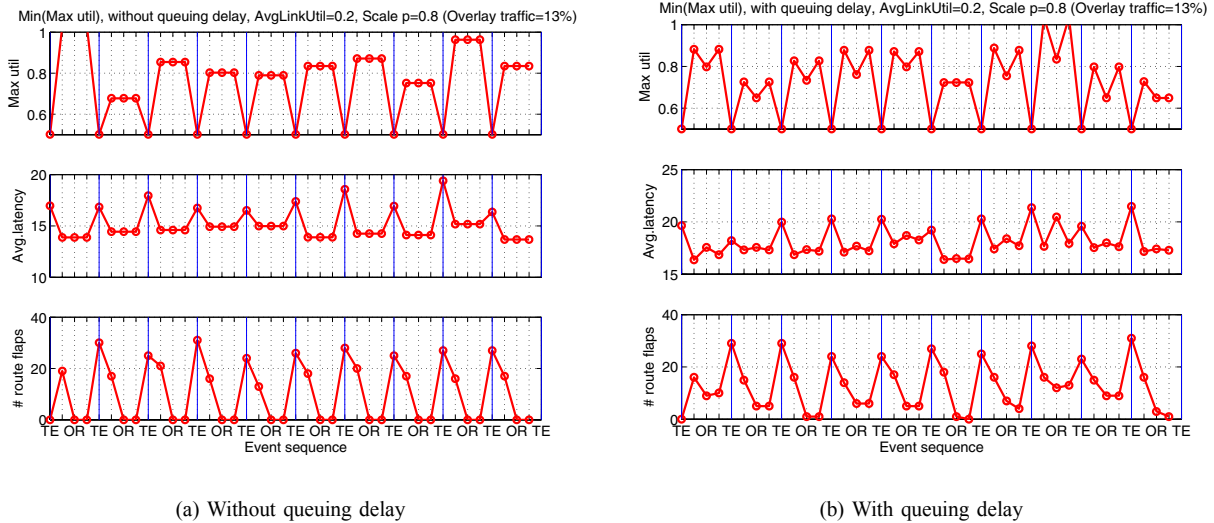


Fig. 2. The progression of observed performance for a particular topology with 8 overlay nodes and 20 native nodes. The above results represent the base performance, without using any of our preemptive strategies. Here, the objective of TE was to minimize the maximum utilization. Each TE event is followed by three overlay routing events. Though we plot only 10 rounds of interaction, the conflict in objective was noticed to extend even beyond 100 rounds.

of route flaps observed during TE serves as an estimate of the instability prevalent in all native routes, though the value we plot only represents the route flaps observed in the overlay traffic.

We observe that the amplitude of variation in the maximum utilization and average latency values is different between Fig. 2(a), where the queuing delay is negligible compared to the propagation delay, and Fig. 2(b), where queuing delay is comparable to the propagation delay. We used the M/M/1 formulation of queuing delay for lack of an accurate model. This is sufficient since we qualitatively seek a delay function that is inversely proportional to the available bandwidth on the link. Moreover, we are only focussed on comparing the temporal variation of the layer’s outcome. On comparing Fig. 2(a) and Fig. 2(b), we observe that the introduction of queuing delay has reduced the amount of variation caused in the maximum utilization value. This can be attributed to the closed loop feedback inherent to queuing; when overlay routing picks a low delay link for multiple routes, it tends to increase the load on that link, leading to an increase in queuing delay, and consequently a cessation of using that link and a reduction of the load on that link. This indicates that the objectives of the two layers are less conflictive in the second scenario.

Another interesting feature in Fig. 2(b) is the flapping of overlay routes triggered by the change in queuing delay, which in turn was caused by the shifting of load during the previous overlay routing operation<sup>4</sup>. This shows minor unrest in overlay routing in the presence of substantial queuing delay, despite the absence of TE. In our simulation, the overlay layer did not employ any form of hysteresis to dampen these minor queuing delay-based route flaps.

We extended our analyses by varying different parameters of the simulation (namely, TE objective, number of overlay nodes, amount of overall traffic, percentage of overlay traffic, and the queuing delay), in an effort to completely profile the scenarios where the conflict is exacerbated. We observed a performance

similar to those plotted above. Owing to space restrictions, we do not present them here. The following, however, is the summary:

- When the TE objective is to minimize the native cost, the trend is fairly similar to that shown in plot Fig. 2. The only difference is noticed when the queuing delay is non-negligible, wherein the objective of TE and overlay routing are lesser in conflict with each other. In that scenario, the objective of minimizing native cost tends to keep the load on all links low, thereby reducing queuing delay and consequentially the average latency of the overlay paths. On the other hand, overlay routing avoids overloading links, thereby reducing the native cost. Hence, the conflict is lesser, *yet significant*, in the case where the TE objective is to minimize native cost and the queuing delay is non-negligible.
- We analyzed two sets of overlay topologies with 5 nodes and 8 nodes each. We observed that a higher fraction of overlay nodes cause higher conflict. This can be explained by inspecting the number of *multi-hop overlay paths*, defined as the overlay path which is not the same as the direct native route. A multi-hop overlay path is the primary reason why the native layer traffic matrix estimation is misdirected, i.e. when two nodes always communicate along the direct native route, then TE is able to load balance easily. Thus, *the higher the number of multi-hop overlay paths, the higher the conflict between TE and overlay routing*. In the 5-node topologies, we observed only 1 multi-hop overlay path. This caused the smaller topology to have no conflict, while the 8-node topology profiled above displays 20 multi-hop overlay paths, causing a higher level of conflict and a substantial number of route flaps.
- Irrespective of the size of the native topology and overlay topology, the occurrence of route flaps depends mainly on how conducive the overall network is to forming multi-hop overlay paths. Thus, we are justified in adopting a small native network, as long as there are sufficient overlay nodes.
- Increasing total load in the network stresses traffic engineering further and causes it to pick routes that are far more widespread. This worsens the link latencies as seen by overlay routing, giving it more reason to pick multi-hop overlay paths, thereby causing a higher variation in TE outcome. Hence,

<sup>4</sup>Note that any change in the overlay routing table leads to a change in the real traffic matrix seen by TE, though we do not change the native or overlay traffic matrix.

TABLE I  
STRATEGY PROFILE FOR EACH INPUT LOAD/LATENCY PROFILE  $P_1$

Initial	Leader	Effect	Follower	Outcome
$P_1$	Action $A_1$	Latency <sub>1</sub> Load <sub>1</sub>	Reaction $R_1$	Latency' <sub>1</sub> Load' <sub>1</sub>
$P_1$	Action $A_2$	Latency <sub>2</sub> Load <sub>2</sub>	Reaction $R_2$	Latency' <sub>2</sub> Load' <sub>2</sub>
$P_1$	Action $A_3$	Latency <sub>3</sub> Load <sub>3</sub>	Reaction $R_3$	Latency' <sub>3</sub> Load' <sub>3</sub>

even at an average link utilization of 0.1, we start seeing considerable amplitude in variation.

- We analyzed the effect of overlay traffic by setting the scale factor at 0.4, 0.8, 1.2 and 1.6. We observe higher variations in the TE objective as the scale factor is high. When the amount of overlay traffic is very small, overlay routing has minimal impact on TE outcome. This is inline with our intuition and with past research results[2]. Consequently, we find very low amplitude of variation when the scale is 0.4 (which represents overlay traffic that is 6.4% of the total traffic).

### B. Social Optimum

The social optimum is defined as the action-reaction pair that produces the best outcome for both the layers. This is a parameter that helps derive an estimate of the degree of non-cooperation (anarchy) in the network[12]. Ideally, the social optimum would be the desired operating point for both layers. However, lack of sufficient knowledge to exactly predict the other layer's response, makes it non-trivial to derive the social optimum. For instance, an overlay network that spans only a fraction of the native network, can only choose among the set of native routes it is exposed to and is unaware of a potential shorter route with lower load. Furthermore, the social optimum can also be inexistent in certain scenarios of conflicting objectives. Hence, we proceed to determine the best possible performance for a particular layer, even at the expense of the other layer.

### C. Ideal Solution

Given infinite learning time and memory, one can create a map (as in Table I) of preemptory actions for the leader, which cause a specific reaction by the follower and an associated change in outcome for the leader. Each entry can be created based on history and by trying out all possible routing decisions. The general idea is for the leader to replay any action that is known to yield a favorable (or favorable enough) outcome. It is worth noting that any choice in the strategy profile will be acceptable to both layers, i.e. when the leader picks a certain action, the final outcome after the reaction is bound to be agreeable to the follower. Clearly, there are intractable number of possibilities, for each load/latency profile. This makes it infeasible to determine in polynomial time the appropriate routing decisions for each layer.

In the following two sections, we propose preemptive strategies targeting a particular layer, with the assumption that the other layer does not deviate from its general objective. In addition, we assume that each layer has a general notion of the other layer's objective. Our strategies apply certain heuristics, based on the above study, to converge at a near-optimal routing table within polynomial time. Moreover, they do not require any other information besides what is report by basic network monitoring. Lastly, they require no cooperation or interface between the two layers, and exercise sufficient control over the follower indirectly. Thus, these strategies can be easily implemented in a

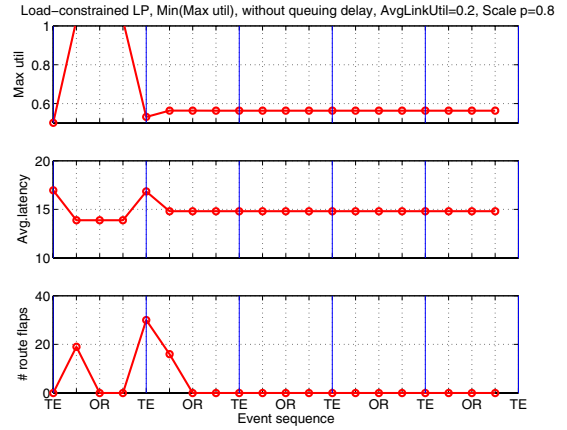


Fig. 3. Performance results for the load-constrained LP strategy.

realistic environment. All simulations results presented use native networks of 20 nodes, overlay networks of 8 nodes, average link utilization of 0.2, and an overlay traffic scale  $p$  of 0.8. However, the results were verified over all synthetic topologies generated, as explained in Section III.

## V. OVERLAY LAYER STRATEGIES

In this section, we present strategies that help the overlay layer preemptively steer the multi-layer interaction towards a converging point, wherein the performance of the overlay is almost as good as the case when there is no reprisal from the native layer TE. By making certain calculated routing decisions at the overlay layer, we ensure that TE does not get triggered; because its network monitoring has not sensed any change, or because TE does not find any alternatives besides the current routing table.

The strategies are classified, based on their nature towards the native layer, as *friendly* or *hostile*. The friendly strategy picks routes in such a manner that the TE objective is not altered much and the native layer still has a well-balanced load, while the hostile strategy performs extra operations to achieve the overlay layer objective by defeating the TE objective. The observations made in this section apply to both TE objectives and to both levels of queuing delay.

### A. Friendly: Load-constrained LP

In this strategy, we make use of the fundamental idea that the TE sees only the real traffic matrix and not the end-to-end overlay traffic matrix. Hence, if we determine the load distribution in the network after TE's load balancing operation, and ensure that any future routing at the overlay layer always contributes the same load to the real traffic matrix, then TE has no reason to be triggered. Using this reasoning, we adopt the following algorithm for overlay routing:

- 1) Determine the available bandwidth on each overlay link using tools like Pathload[25]. Let us refer to the minimum of this value over all links as  $\min(\text{availbw})$ . We insure that the available bandwidth on all links are kept above this value.
- 2) Set the maximum allowable load on each overlay link to the amount of overlay traffic on that link, computed by a product of the overlay demand matrix and the overlay routing table. This is conservative because there might be more leeway.
- 3) Set the maximum allowable load on each unused overlay link  $a$ , i.e. an overlay link that transports no overlay traffic, to a

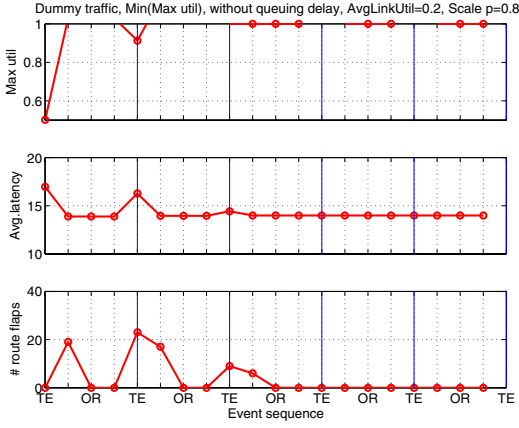


Fig. 4. Performance results for the dummy traffic injection strategy.

value of  $availbw(a) - \min(availbw)$ . This ensures that the TE objective is still respected.

- 4) Let  $F_{(x,y)}^{(s,t)}$  represent the fraction of traffic between nodes  $s$  and  $t$  that goes over overlay link  $(x,y)$ , and  $\mathcal{L}(x,y)$  represent the maximum allowable load in the current round. Run the following linear program (LP), with the last additional constraint, to determine the overlay routes. This LP minimizes the sum of latency of each overlay path, while insuring that the load on each overlay link is within the allowable limit.

$$\text{minimize Total Latency} = \sum_{(s,t) \in V' \times V'} \text{latency}(s,t)$$

subject to:

$$\sum_{(x,y) \in E'} F_{(x,y)}^{(s,t)} - \sum_{(y,z) \in E'} F_{(y,z)}^{(s,t)} = \begin{cases} -1, & \text{if } y=s \\ 1, & \text{if } y=t \\ 0, & \text{otherwise} \end{cases} \quad \forall y, s, t \in V'$$

$$\text{latency}(s,t) = \sum_{(x,y) \in E'} \text{delay}(x,y) \times F_{(x,y)}^{(s,t)} \quad \forall (s,t) \in V' \times V'$$

$$\sum_{(s,t) \in V' \times V'} \text{overlay\_demand}(s,t) \times F_{(x,y)}^{(s,t)} \leq \mathcal{L}(x,y) \quad \forall (x,y) \in E'$$

Fig. 3 presents the simulation results for this strategy. In the first round of the simulation, we run a normal version of overlay routing (by setting all values in  $\mathcal{L}$  to be  $\infty$ ) to get an estimate of the optimal overlay routing performance. After the first round, we run the LP-version of overlay routing with finite load constraints. We notice that the overlay is able to reduce the average latency achieved without causing an increase in the maximum utilization. Hence, it is a friendly strategy. Moreover, the above algorithm stabilizes within one round and requires data from only the previous round. The only drawback with this strategy being the added complexity in maintaining multipath overlay connections. The exact details of that are outside the scope of this paper.

### B. Hostile: Dummy traffic injection

In this strategy, the overlay layer sends high amounts of dummy traffic on unused overlay links with the following two motives:

- **Render TE ineffective:** By sending high amounts of traffic, the overlay layer ensures that the objective of TE is stretched upto an extent where it becomes ineffective and has no effect on existing overlay routes. This gives the overlay layer complete freedom in picking routes and overloading certain links.

TABLE II

SUMMARY OF INFLATION FACTOR INCURRED BY OVERLAY STRATEGIES

Strategy	Overlay	TE
Friendly: Load-constrained LP	1.082	1.122
Hostile: Dummy traffic injection	1.023	1.992

- **Shift concern of TE:** By sending dummy traffic, the overlay layer shifts concern of TE to the over-utilized native links and allows the other less loaded native routes to use the least possible resources. Thereby, making it more probable to obtain shorter native routes for the overlay links.

Thus, sending dummy traffic prevents deterioration in overlay routing performance during future rounds. This strategy is counter-productive with regards to the overall system health. However, as long as the overlay links with dummy traffic do not intersect with overlay links we are truly concerned about, the risk incurred (in the form of queuing delay or packet loss) is minimal. Thus, we take special care that the links over which we send dummy traffic are i) unused by any overlay route, ii) non-overlapping with links under use. The latter constraint might require us to execute the *traceroute* program between the endpoints of each overlay link.

Fig. 4 plots the simulation results for the case where we choke unused non-overlapping links. We observe that the TE objective is completely violated, while achieving good performance for the overlay layer. The strategy was able to achieve good performance in the second round itself with no knowledge of previous load distribution.

We realize that this strategy may not fare as well in the case where multiple overlay networks, coexisting over the same native network, inject dummy traffic simultaneously. This is especially problematic when the dummy traffic sent by one overlay network enters links used for regular traffic of another overlay network. In such cases, it is not feasible to guarantee good performance for every overlay network's objective. We reserve the study of the multiple overlay network scenario for future work.

An artifact of using a *ping*-like protocol for latency estimation in our simulation is that the queuing delay of the reverse links also matter. Hence, we take special care that the overlay links over which we send dummy traffic are non-overlapping in both the forward and reverse direction.

### C. Performance Comparison

Table II presents the values of the inflation factor incurred by each layer at the steady state, when the overlay layer is the leader. We observe that the two strategies attain close to optimal average latency values. Moreover, the stability of the overall system is greatly improved. From results in Fig. 3 and Fig. 4, we see that the native and overlay routes have no route flaps beyond round 2, indicating that the system attains a steady state within a few rounds.

The friendly strategy sacrificed some of its performance to prevent distortion of the TE's objective, while the hostile strategy achieved the best possible performance for the leader at the expense of the follower's performance.

## VI. NATIVE LAYER STRATEGIES

In this section, we present strategies that help the native layer preemptively steer the multi-layer interaction towards a favorable converging point. Similar to the previous section, the strategies are classified, based on their nature towards the follower, as *friendly* or *hostile*. The observations made in this section apply to both objectives of TE and to both levels of queuing delay.

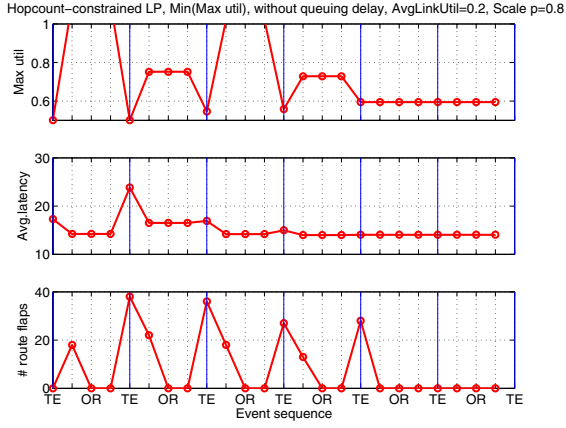


Fig. 5. Performance results for the hopcount-constrained LP strategy.

### A. Friendly: Hopcount-constrained LP

In this strategy, we adjust the MPLS-TE formulation in such a manner that during each load balancing effort, it takes special care to keep the native routes at the same length as before. Thus, we insure that the overlay layer does not notice any change in the perceived overlay link latencies. This simple constraint on the native route length keeps overlay routing from being triggered, and helps retain the good load balance. To achieve this, we adopt the following algorithm at the native layer:

- 1) Let  $f_{(x,y)}^{(s,t)}$  represent the fraction of traffic between nodes  $s$  and  $t$  that goes over native link  $(x,y)$ . This fraction is the output of the MPLS-TE's LP formulation.
- 2) After each TE operation, compute the total hopcount  $\mathcal{H}(s,t)$  of each native route  $(s,t)$ . This can be computed as:  $\sum_{(x,y) \in E} f_{(x,y)}^{(s,t)}$ . This hopcount profile  $\mathcal{H}$  tends to approximate the latency profile of the overlay layer.
- 3) Using the hopcount profile of the previous round as input, compute the new set of native routes that are of almost the same length. The LP of MPLS-TE, with an objective of minimizing the maximum utilization, can be augmented to enforce this constraint in the following manner:

$$\text{minimize Maximum util} = \max_{(x,y) \in E} \frac{\text{load}(x,y)}{\text{capacity}(x,y)}$$

subject to:

$$\sum_{(x,y) \in E} f_{(x,y)}^{(s,t)} - \sum_{(y,z) \in E} f_{(y,z)}^{(s,t)} = \begin{cases} -1, & \text{if } y=s \\ 1, & \text{if } y=t \\ 0, & \text{otherwise} \end{cases} \quad \forall y, s, t \in V$$

$$\text{load}(x,y) = \sum_{(s,t) \in V \times V} \text{demand}(s,t) \times f_{(x,y)}^{(s,t)} \quad \forall (x,y) \in E$$

$$\sum_{(x,y) \in E} f_{(x,y)}^{(s,t)} \leq 1.02 \times \mathcal{H}(s,t)_{\text{prev}} \quad \forall (s,t) \in V \times V$$

The last constraint has been introduced to remove the need for overlay route change. We multiply the upper bound of the hopcount by 1.02 to allow the native layer a bit more flexibility in adjusting its routes, thereby allowing us to steer closer to the optimal load balance. Though, we restrict the hopcount and not the actual latency value, we reason that this approximation reduces implementation complexity and is sufficient to achieve good performance.

Fig. 5 shows the simulations results for this strategy. TE learns the hopcounts used by each native route over the first 4

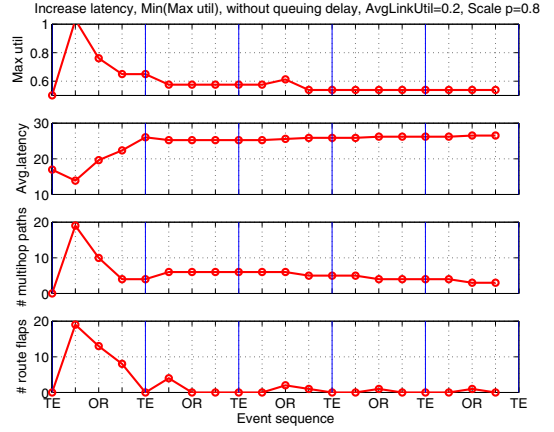


Fig. 6. Performance results for the load-based latency tuning strategy.

rounds and eventually obtains a hopcount profile that correlates well with the overlay link latencies. At that point, the LP was able to balance the load and keep the overlay link latencies the same, thereby leading to steady state. Moreover, we were able to achieve good performance for both the layers, as observed in the plot of the two objectives.

### B. Hostile: Load-based latency tuning

Traffic engineering is capable of adjusting the network to an optimal load distribution for most traffic matrices. However, it does not account for future alterations of the traffic matrix that may be committed by overlay routing. Thus, the ideal strategy towards retaining a good load balance is to restrict changes caused by overlay routing. This may be achieved by:

- Restricting the relay of overlay traffic in certain parts of the network in an effort to prevent the overlay layer from changing its current routes.
- Distributing load in such a manner that the overlay finds insufficient resources (or) high queuing delay on heavily used overlay links.
- Manipulating the latency (or any other metric that is of interest to the overlay) of all traffic on certain native links in such a manner that the overlay layer is offered an incentive or discentive to maintain the same routing table.

All these strategies lead to a deterioration in overlay performance and can potentially affect the experience of the end user. However, they have a difference in their motivation. The first two approaches discriminate against overlay traffic (and thereby raising concerns of net neutrality), while the third approach equally affects all traffic.

We implement the third approach in the following manner:

- 1) Constantly monitor the utilization on all native links.
- 2) If utilization is greater than or equal to 1, then increase the latency on the specific link by 3 times a constant  $c$  ms<sup>5</sup>.
- 3) Else if utilization is greater than the maximum utilization observed at the end of TE, then increase the latency on the specific link by the constant  $c$  ms.
- 4) Repeat this process until we attain an acceptable maximum utilization value.

The above procedure gradually learns which native links are key to overlay network and tends to dissuade usage of those links.

<sup>5</sup>We assume that the native layer is capable of increasing the latency of certain links by some means.

TABLE III

SUMMARY OF INFLATION FACTOR INCURRED BY NATIVE STRATEGIES

Strategy	Overlay	TE
Friendly: Hopcount-constrained LP	1.027	1.184
Hostile: Load-based Latency tuning	1.938	1.072

When we simulated this strategy in our topology, we observed that the native layer was able to rapidly decrement the number of multi-hop overlay paths and attain steady state within 1 round. By indirectly increasing the latency, it avoided having to explicitly identify the overlay traffic in its network.

Fig. 6 shows the simulations results for this strategy when  $c = 1$ . We see that the native layer is able to achieve the best load balance at the expense of the overlay layer, and also to rid the system of further route flaps. Seemingly, this strategy is not as counter-productive as the hostile strategy proposed for the overlay layer in Section V.

### C. Performance Comparison

Table III presents the values of the inflation factor incurred by each layer at the steady state, when the native layer is the leader. Both strategies yield a low inflation factor, indicating near-optimal performance for the leader. Similar to the results in Table II, we observe that the hostile strategy achieves the best possible performance for the leader, viz. native layer, at the expense of the follower's performance. Moreover, the stability of the overall system is greatly improved when we use these preemptive strategies.

## VII. CONCLUDING REMARKS

In this paper, we investigate the multi-layer interaction between overlay routing and traffic engineering, and propose strategies to steer this interaction towards an improved routing performance for one of the two entities. The motivation behind our work is the sub-optimality and instability, caused by the two layers having a conflict in objective and repeatedly altering their routes to achieve selfish goals. To our knowledge, our work is the first to mitigate the instability and performance issues in selfish routing games with incomplete information.

The strategies we propose make one layer a leader and the other layer a selfish follower. In such a scenario, it is possible for the leader to achieve its desired performance within a few rounds of the interaction, thus ridding the network of the inherent instability. Specifically, we propose two strategies for the overlay layer: *load-constrained LP* and *dummy traffic injection*, and two strategies for the native layer: *hopcount-constrained LP* and *load-based latency tuning*. From their simulation under various network conditions, we observe that these preemptive strategies are quite efficient. However, some of our strategies breach the follower's objective, leading to unavoidable sub-optimality in the follower. We call such strategies hostile. We observe that the leader achieves the best performance when deploying a hostile strategy, showing a higher level of selfishness. The hostile strategies are counterproductive, while the friendly strategies improve the performance of both layers. We acknowledge that the solution space of hostile and friendly strategies is generally vast and that our work primarily offers a preliminary proof-of-concept.

In the current Internet, we see a constant power struggle between the native layer, which is optimized for a broader range of users, and the overlay layer, which is solely interested in improving its own routes. In this paper, we investigate one form

of this tussle as seen in the case of service overlays. We believe that many other forms of selfish interactions can potentially occur when traffic engineering is more dynamic and fine-grained (For instance, performance-aware applications like Bittorrent can conflict with TE). As overlay applications proliferate and the amount of selfish overlay traffic surges, there is a clear need for our strategies, in order to maintain stability and to improve the performance of a particular layer with least impact on the other layer. It is conceivable that both layers adopt one of our preemptive strategies. In that scenario, the performance achieved by each layer depends mainly on the other layer's strategy; the simple rule of thumb being that a layer's performance deteriorates when the other layer adopts a hostile strategy.

## REFERENCES

- [1] L. Qiu *et al.*, "On Selfish Routing in Internet-Like Environments," in *Proceedings of ACM SIGCOMM*, August 2003.
- [2] Y. Liu, H. Zhang, W. Gong, and D. Towsley, "On the Interaction Between Overlay Routing and Traffic Engineering," in *Proceedings of IEEE INFOCOM*, 2005.
- [3] R. Keralapura, N. Taft, C. N. Chuah, and G. Iannaccone, "Can ISPs take the heat from Overlay Networks?," in *Proceedings of HotNets-III*, November 2004.
- [4] Z. Duany, Z. Zhangy, and Y. Houz, "Service Overlay Networks: SLAs, QoS and Bandwidth Provisioning," in *Proceedings of ICNP*, Nov 2002.
- [5] "Multiprotocol label switching (MPLS)," <http://www.ietf.org/html.charters/mpls-charter.html>.
- [6] Bernard Fortz and Mikkel Thorup, "Internet Traffic Engineering by Optimizing OSPF Weights," in *INFOCOM*, 2000, pp. 519–528.
- [7] Y. Korilis, A. Lazar, and A. Orda, "Achieving Network Optima using Stackelberg Routing Strategies," *IEEE/ACM Transactions on Networking*, vol. 5, no. 1, pp. 161–173, 1997.
- [8] H. Zhang, Y. Liu, W. Gong, and D. Towsley, "Understanding the interaction between overlay routing and MPLS traffic engineering," Tech. Rep. UM-CS-2004-063, University of Mass. at Amherst, 2004.
- [9] Drew Fudenberg and Jean Tirole, *Game Theory*, MIT Press, 1991.
- [10] S. Shenker, L. Peterson, and J. Turner, "Overcoming the Internet Impasse through Virtualization," in *Proceedings of HotNets-III*, November 2004.
- [11] S. Seetharaman and M. Ammar, "On the Interaction between Dynamic Routing in the Overlay and Native Layers," in *Proceedings of IEEE INFOCOM*, April 2006.
- [12] E. Koutsoupias and C. Papadimitriou, "Worst-case Equilibria," in *Proceedings of the 16th Annual Symposium on Theoretical Aspects of Computer Science*, 1999, pp. 404–413.
- [13] T. Roughgarden and E. Tardos, "How Bad is Selfish Routing?," *Journal of the ACM*, vol. 49(2), pp. 236–259, March 2002.
- [14] Y. Zhu, C. Dovrolis, and M. Ammar, "Dynamic Overlay Routing Based on Available Bandwidth Estimation: A Simulation Study," *Computer Networks Journal (Elsevier)*, vol. 50, no. 6, pp. 739–876, April 2006.
- [15] S. Kandula and D. Katabi and B. Davie and A. Charny, "Walking the Tightrope: Responsive Yet Stable Traffic Engineering," in *Proceedings of ACM SIGCOMM*, August 2005.
- [16] H. Wang and H. Xie and L. Qiu and R. Yang and Y. Zhang and A. Greenberg, "COPE: Traffic Engineering in Dynamic Networks," in *Proceedings of ACM SIGCOMM*, September 2006.
- [17] B. Davie and Y. Rekhter, *MPLS: Technology and Applications*, San Francisco, CA, Morgan Kaufmann, 2000.
- [18] D. Andersen, H. Balakrishnan, M. Frans Kaashoek, and R. Morris, "Resilient Overlay Networks," in *Proceedings of 18th ACM SOSP*, October 2001.
- [19] S. Savage *et al.*, "Detour: a Case for Informed Internet Routing and Transport," Tech. Rep. TR-98-10-05, U. of Washington, Seattle, 1998.
- [20] J. Touch, "Dynamic Internet Overlay Deployment and Management Using the X-Bone," *Computer Networks*, pp. 117–135, July 2001.
- [21] S. Seetharaman and M. Ammar, "Characterizing and Mitigating Inter-domain Policy Violations in Overlay Routes," in *To appear in Proceedings of IEEE ICNP*, November 2006.
- [22] E. Blanton and M. Allman, "On making tcp more robust to packet reordering," *ACM Computer Communication Review*, January 2002.
- [23] K. Calvert, M. Doar, and E. Zegura, "Modeling Internet Topology," *IEEE Communications Magazine*, June 1997.
- [24] "GNU Linear Programming Kit (GLPK)," <http://www.gnu.org/software/glpk>.
- [25] M. Jain and C. Dovrolis, "Pathload: A measurement tool for end-to-end available bandwidth," in *Proceedings of Passive and Active Measurements (PAM) Workshop*, March 2002.